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JC881 U.S. PTO

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 074451.P117

Total Pages 2

First Named Inventor or Application Identifier Greg Wolff, et al.

Express Mail Label No. EL371008544US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 28)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 8)
4.  Oath or Declaration (Total Pages 5) (Unexecuted)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))  
9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)  
       b. Power of Attorney (Unexecuted)  
10.  English Translation Document (if applicable)  
11.  a. Information Disclosure Statement (IDS)/PTO-1449  
       b. Copies of IDS Citations  
12.  Preliminary Amendment  
13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14.  a. Small Entity Statement(s)  
       b. Statement filed in prior application, Status still proper and desired  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Other: Copy of Postcard w/Express Mail Stamp.  
        
      

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  
of prior application No: 09/465,982

18. Correspondence Address

Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Michael J. Mallie Reg. No.: 36,591

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09/629781  
07/31/00



## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL371008544US

Date of Deposit: July 31, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Geneva Walls

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Geneva Walls  
July 31, 2000

(Date signed)

|   |   |
|---|---|
| Serial/Patent No.: <u>09/465,982</u>  | Filing/Issue Date: <u>December 16, 1999</u> |
| Client: <u>Ricoh Corporation</u>  |   |
| Title: <u>REMOTE CONSOLE FOR NETWORK APPLICATION SERVERS</u>                                      |   |
| BSTZ File No.: <u>074451.P117</u>   | Atty/Secty Initials: <u>MJM/DNT/gw</u>      |
| Date Mailed: <u>July 31, 2000</u>   | Docket Due Date: <u>***</u>                 |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon: |   |
| <input type="checkbox"/> Amendment/Response (____ pgs.)   |   |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                                 |   |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)               |   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                      |   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                        |   |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) CIP (28 pgs.)                      |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                   |   |
| <input type="checkbox"/> Application - Design (____ pgs.)   |   |
| <input type="checkbox"/> Application - PCT (____ pgs.)  |   |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                    |   |
| <input type="checkbox"/> Assignment and Cover Sheet   |   |
| <input checked="" type="checkbox"/> Certificate of Mailing ( <b>Express Mail</b> )                |   |
| <input checked="" type="checkbox"/> Declaration & POA (4 pgs.) ( <b>Unexecuted</b> )              |   |
| <input type="checkbox"/> Disclosure Docs & Org & Cpy of Inven& Signd Letter (____ pgs)            |   |
| <input checked="" type="checkbox"/> Drawings: <u>8</u> # of sheets includes <u>8</u> figures      |   |
| <input checked="" type="checkbox"/> Other: <u>Copy of Postcard w/Express Mail Stamp.</u>          |   |

## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) \$1,104.00

## Complete if Known:

Application No. Not AssignedFiling Date July 31, 2000 (Herewith)First Named Inventor Greg Wolff, et al.Group Art Unit Not AssignedExaminer Name Not AssignedAttorney Docket No. 074451.P117

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Check Money Order Other

## FEE CALCULATION

1. BASIC FILING FEE

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u>             | <u>Fee Paid</u> |
|---------------------|---------------------|------------------------------------|-----------------|
| Fee                 | Fee                 | Fee                                | Fee             |
| Code                | (\$)                | Code                               | (\$)            |
| 101                 | 690                 | 201                                | 345             |
| 106                 | 310                 | 206                                | 155             |
| 107                 | 480                 | 207                                | 240             |
| 108                 | 690                 | 208                                | 345             |
| 114                 | 150                 | 214                                | 75              |
|                     |                     | Utility application filing fee     | <u>690.00</u>   |
|                     |                     | Design application filing fee      | _____           |
|                     |                     | Plant filing fee                   | _____           |
|                     |                     | Reissue filing fee                 | _____           |
|                     |                     | Provisional application filing fee | _____           |

SUBTOTAL (1) \$ 690.002. EXTRA CLAIM FEES

|                             | <u>Extra Claims</u> | <u>Fee from<br/>below</u> | <u>Fee Paid</u>   |
|-----------------------------|---------------------|---------------------------|-------------------|
| Total Claims <u>43</u>      | <u>- 20** = 23</u>  | <u>X \$18.00</u>          | <u>= \$414.00</u> |
| Independent Claims <u>3</u> | <u>- 3** = 0</u>    | <u>X _____</u>            | <u>= _____</u>    |
| Multiple Dependent          |                     |                           |                   |

\*\*Or number previously paid, if greater; For Reissues, see below.

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u>                                    |
|---------------------|---------------------|---|
| Fee                 | Fee                 | Fee   |
| Code                | (\$)                | Code  |
| 103                 | 18                  | 203   |
| 102                 | 78                  | 202   |
| 104                 | 260                 | 204   |
| 109                 | 78                  | 209   |
| 110                 | 18                  | 210   |
|                     |                     | Claims in excess of 20                                    |
|                     |                     | Independent claims in excess of 3                         |
|                     |                     | Multiple dependent claim, if not paid                     |
|                     |                     | **Reissue independent claims over original patent         |
|                     |                     | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$414.00

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

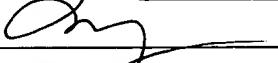
See Forms PTO/SB-09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u>      |          | <u>Small Entity</u> |          |  |                 |
|--------------------------|----------|---------------------|----------|--|-----------------|
| Fee Code                 | Fee (\$) | Fee Code            | Fee (\$) | <u>Fee Description</u>   | <u>Fee Paid</u> |
| 105                      | 130      | 205                 | 65       | Surcharge - late filing fee or oath  | _____           |
| 127                      | 50       | 227                 | 25       | Surcharge - late provisional filing fee or cover sheet                     | _____           |
| 139                      | 130      | 139                 | 130      | Non-English specification  | _____           |
| 147                      | 2,520    | 147                 | 2,520    | For filing a request for reexamination                                     | _____           |
| 112                      | 920*     | 112                 | 920*     | Requesting publication of SIR prior to Examiner action                     | _____           |
| 113                      | 1,840*   | 113                 | 1,840*   | Requesting publication of SIR after Examiner action                        | _____           |
| 115                      | 110      | 215                 | 55       | Extension for response within first month                                  | _____           |
| 116                      | 380      | 216                 | 190      | Extension for response within second month                                 | _____           |
| 117                      | 870      | 217                 | 435      | Extension for response within third month                                  | _____           |
| 118                      | 1,360    | 218                 | 680      | Extension for response within fourth month                                 | _____           |
| 128                      | 1,850    | 228                 | 925      | Extension for response within fifth month                                  | _____           |
| 119                      | 300      | 219                 | 150      | Notice of Appeal   | _____           |
| 120                      | 300      | 220                 | 150      | Filing a brief in support of an appeal                                     | _____           |
| 121                      | 260      | 221                 | 130      | Request for oral hearing   | _____           |
| 138                      | 1,510    | 138                 | 1,510    | Petition to institute a public use proceeding                              | _____           |
| 140                      | 110      | 240                 | 55       | Petition to revive unavoidably abandoned application                       | _____           |
| 141                      | 1,210    | 241                 | 605      | Petition to revive unintentionally abandoned application                   | _____           |
| 142                      | 1,210    | 242                 | 605      | Utility issue fee (or reissue)   | _____           |
| 143                      | 430      | 243                 | 215      | Design issue fee   | _____           |
| 144                      | 580      | 244                 | 290      | Plant issue fee  | _____           |
| 122                      | 130      | 122                 | 130      | Petitions to the Commissioner  | _____           |
| 123                      | 50       | 123                 | 50       | Petitions related to provisional applications                              | _____           |
| 126                      | 240      | 126                 | 240      | Submission of Information Disclosure Stmt                                  | _____           |
| 581                      | 40       | 581                 | 40       | Recording each patent assignment per property (times number of properties) | _____           |
| 146                      | 690      | 246                 | 345      | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____           |
| 149                      | 690      | 249                 | 345      | For each additional invention to be examined (see 37 CFR 1.129(a))         | _____           |
| Other fee (specify)      |          | _____               |          |  |                 |
| Other fee (specify)      |          | _____               |          |  |                 |
| <b>SUBTOTAL (3) \$ 0</b> |          |                     |          |  |                 |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Michael J. Mallie  
Signature  Date 7/31/00  
Reg. Number 36,591 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)